

REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000,
provides for continued examination of an utility or plant
application filed on or after June 8, 1995.
See The American Inventors Protection Act of 1999 (AIPA).

Application Number	10/045,903
Filing Date	January 11, 2002
Examiner Name	Stockton, Laura
First Named Inventor	Goldstein, David et al.
Group Art Unit	1626
Attorney Docket Number	R0038G-DIV

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.
NOTE: 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53 (d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

1. **Submission required under 37 C.F.R. § 1.114**

a. ☒ Previously submitted

- i. ☒ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on January 27, 2003
(Any unentered amendment(s) referred to above will be entered).
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on
- iii. ☐ Other

b. Enclosed

- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other : Petition for Extension of Time under 37 CFR 1.136(a)

2. **Miscellaneous**

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(l) required)
- b. ☐ Other

3. **Fees** The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.

- a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to _____
Deposit Account No. 18-1700
- i. ☒ RCE fee required under 37 C.F.R. § 1.17(e)
- ii. ☒ Extension of time fee (37 C.F.R. §§ 1.136 and 1.17), 1 month
- iii. ☐ Other
- b. ☐ Check in the amount of \$ _____ enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)



24372

PATENT TRADEMARK OFFICE

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print /Type)	Anastasia Winslow	Registration No. (Attorney/Agent)	40,875
Signature		Date	February 25, 2003

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner For Patents, Box RCE, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on: 2/25/03

Name (Print /Type)	Anastasia Winslow		
Signature		Date	2/25/03

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND Fees and Completed Forms to the following address: Commissioner for Patents, Box RCE, Washington, DC 20231.

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FEE TRANSMITTAL for FY 2003

MAR 04 2003

Patent fees are subject to annual revision.

Complete if Known

Application Number **10/045,903**

Filing Date **January 11, 2002**

First Named Inventor **Goldstein, David Michael et al**

Examiner Name **Stockton, Laura**

Group / Art Unit **1626**

Attorney Docket No. **R0038G-DIV**

TOTAL AMOUNT OF PAYMENT (\$)**860.00**

METHOD OF PAYMENT (check one)

1. ☒

The Commissioner is hereby authorized to charge indicated fees, to charge any additional fees that may be required, or to credit any overpayments to:

Deposit
Account
Number**18-1700**Deposit
Account
Name

Roche Palo Alto LLC
3401 Hillview Avenue
Palo Alto, CA 94304

☐ Charge Any Additional Fee Required
 Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status.
 See 37 CFR 1.27
2. ☐ Payment Enclosed:
☐ Check ☐ Credit card ☐ Money
 Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	750			Utility filing fee	
106	330			Design filing fee	
107	510			Plant filing fee	
108	750			Reissue filing fee	
114	160			Provisional filing fee	
SUBTOTAL (1)					(\$)

2. EXTRA CLAIM FEES

Total Claims -20 ** = X =

Independent Claims -3 ** = X =

Multiple Dependent X =

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18			Claims in excess of 20
102	84			Independent claims in excess of 3
104	280			Multiple dependent claim, if not paid
109	84			** Reissue independent claims over original patent
110	18			** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

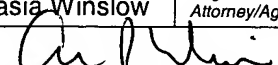
Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130		Surcharge - late filing fee or oath	
127	50		Surcharge - late provisional filing fee or cover sheet	
139	130		Non-English specification	
147	2,520		For filing a request for reexamination	
112	920*		Requesting publication of SIR prior to Examiner action	
113	1,840*		Requesting publication of SIR after Examiner action	
115	110		Extension for reply within first month	110.00
116	400		Extension for reply within second month	
117	920		Extension for reply within third month	
118	1,440		Extension for reply within fourth month	
128	1,960		Extension for reply within fifth month	
119	320		Notice of Appeal	
120	320		Filing a brief in support of an appeal	
121	280		Request for oral hearing	
138	1,510		Petition to institute a public use proceeding	
140	110		Petition to revive - unavoidable	
141	1,280		Petition to revive - unintentional	
142	1,280		Utility issue fee (or reissue)	
143	460		Design issue fee	
144	620		Plant issue fee	
122	130		Petitions to the Commissioner	
123	50		Processing fee under 37 CFR 1.17 (q)	
126	180		Submission of Information Disclosure Stmt	
581	40		Recording each patent assignment per property (times number of properties)	
146	740		Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740		For each additional invention to be examined (37 CFR § 1.129(b))	
179	740		Request for Continued Examination (RCE)	750.00
169	900		Request for expedited examination of a design application	
Other fee (specify)				

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)**860.00**

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Anastasia Winslow	Registration No. Attorney/Agent	40,875	Telephone	650/ 852-1141
Signature		Date	2/25/03		

RECEIVED